

# **INTERMODEL EU**

Simulation using Building Information Modelling Methodology of Multimodal, Multipurpose and Multiproduct Freight Railway Terminal Infrastructures

**Grant agreement: 690658** 

## D5.1 Part 1 – DATA REQUIREMENTS FOR TERMINAL SIMULATION

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		Paweł Kołodziejczyk		

## **Statement of originality**:

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## **Executive Summary**

This document shows the results from the various ongoing evaluation activities of WP5 during the 2016-09 / 2017-02 period. This document is part of Deliverable 5.1 (Data Model).

This document describes what data is required as input from the terminals to make valid simulation models.

## D5.1 Terminal Simulation Information Requirements



## **Table of contents**

E	cecutiv	re Summary	3
1	Intr	oduction	6
	1.1	Scope	6
	1.2	Audience	6
	1.3	Definitions / Abbreviations	6
	1.4 St	ructure	11
2	Ter	minal layout, areas and access to the terminal	13
	2.1	Layout of terminal and areas	13
	2.2	Access to terminal	14
3	Ter	minal volumes and flows	14
	3.1	Container volume data	14
	3.2	Other cargo volumes data	15
	3.3	Targets	15
4	Ber	th and vessels	17
	4.1	Berth data	17
	4.2	Vessel data	17
5	Ter	minal equipment	19
6	Sta	ck	21
7	Ter	minal operations and staffing	23
8	Rail	Terminal and operations	24
9	Gat	e and operations	26
R	eferen	res	28

## D5.1 Terminal Simulation Information Requirements



## **List of Tables**

Table 1: definitions and abbreviations	6
Table 2: container volume	. 14
Table 3: other cargo volumes	. 15
Table 4: performance targets	. 15
Table 5: berth data	. 17
Table 6: vessel data	. 17
Table 7: terminal equipment data	. 19
Table 8: stack data	. 21
Table 9: OOG handling	. 22
Table 10: terminal operations and staffing	. 23
Table 11: rail terminal and operations	. 24
Table 12: gate and gate operations	. 26



#### 1 Introduction

### **1.1 Scope**

To build intermodal terminal simulation models a lot of input data is required from the terminals or terminal designs. The exact data requirements differ from project to project. This document provides a general overview of the what data is required to make valid terminal simulation models. There may be additional data requirements for specific projects.

#### 1.2 Audience

This document is written for the participants of the H2020 INTERMODEL EU project.

### 1.3 Definitions / Abbreviations

**Table 1: Definitions and abbreviations** 

Term	Abbrev.	Description
Actual time of arrival	ATA	The time a MoT actually arrives at a location.
Actual time of	ATD	The time a MoT actually departs from a location.
departure		
Anchorage point	АР	A place where vessels can anchor safely before the entry to a port.
Automated Guided	AGV	Unmanned horizontal transporter controlled by
Vehicle		the TOS or Equipment Control System.
Automated Rail	ARMG	See RMG / ASC.
Mounted Gantry		
Automated Stacking	ASC	A cumulative name for automated, unmanned
Crane		cranes servicing container stacks, typically an ARMG.
Bayplan/stowage plan	BAPLIE	Plan of exact positions of the cargo on board both
occupied and empty		for a situation at a given time and in a near future
locations message		(i.e. after handling).
Beam	-	Width of a ship measured in either meters or TEU.
Barge	-	A flat-bottomed boat typically, though not always, without own propulsion used to transport heavy goods mainly on rivers and canals.
Barge crane	ВС	Crane dedicated to servicing barges and small feeders.
Berth		A place alongside a quay in which a vessel is moored.



Term	Abbrev.	Description
Berthing schedule		A schedule providing information on the estimated arrivals and departures of vessels per berth.
Bill of landing	-	A document that establishes the detailed list of vessels cargo between a shipper and a transportation company. It serves as a document of title, a confirmation of carriage, and a receipt for goods.
Capacity (handling)	-	The number of containers or goods that can be handled by equipment in a certain time window.
Capacity (storage)	-	The amount of goods that can be stored in a particular place (stack) or vehicle. Can be expressed in volume, mass, units, etc.
Container Handling Equipment	CHE	Any equipment used for lifting, transporting and/or supporting the servicing of containers.
Corner casting	-	Part of a shipping container used together with twist-lock to secure cargo during transportation.
Customs	-	Customs in an authority responsible for collecting tariffs and controlling the flow of goods into and out of a country. Customs also inspects cargo in search for contraband.
Data	-	A set of values of qualitative and/or quantitative variables. Pieces of data are individual pieces of information.
Data model	-	An abstract model that organizes elements of data and standardizes how they relate to one another and to properties of the real-world entities.
Demurrage	-	A penalty fee for delaying the carrier's equipment beyond the allowed free time.
Deep sea	DS	Pertaining to areas or activities not in close proximity to a port, but farther out in the sea.
Draft	-	Or draught. Depth of a vessel remaining under water.
Dry bulk	-	Loose cargo transported in bulk carriers, e.g. coal, ores, fertilizers.
Dry port	-	Or inland port. Intermodal terminal directly connected by road or rail to a seaport and operating as a transhipment base for other hinterland destinations.
Dwell Time		The time goods (or containers) stay or are stored at the terminal.
Equipment Control System	ECS	Middleware that provides container handling equipment coordination and control as well as a single interface to TOS.



Term	Abbrev.	Description
Estimated time of	ETA	A measure of indication when an MoT is planned
arrival		or scheduled to arrive at a particular place.
Estimated time of	ETD	Indication when an MoT is to depart from a
departure		location. Comparing estimated with actual times is
·		a measure of scheduling performance.
Forty-foot equivalent	FEU	Measure of container length equal to 2TEU, used
unit		less frequently.
Gateway	-	Point at which freight moving from one territory
		to another is interchanged between
		transportation lines.
Harbour master	-	Officer who is in charge of vessel movements,
		safety, security, and environmental issues within a
		port.
Inland waterway	IWT	Shipping of goods on rivers and canals, usually
transport		carried out on barges.
Inter-terminal	ITT	Inter-Terminal Transport to facilitate transport of
transport		containers between terminals in one port.
Intermodal	-	Movement of cargo containers interchangeably
		between transport modes where the equipment is
	1711	compatible within the multiple systems.
Intermodal transport unit	ITU	Container, swap body or semi-trailer/goods road motor vehicle suitable for intermodal transport.
International	IMO	Specialised agency of the United Nations
Maritime	IIVIO	responsible for regulating shipping defines 9
Organisation		classes of (dangerous) goods, which need special
O'Bambation		handling.
Jetty	-	Or pier. Structure that is perpendicular or at an
,		angle to the shoreline to which a vessel is secured
		for the purpose of loading and unloading cargo.
Key performance	KPI	Indicator that tells what to do to increase
indicator		performance dramatically. They represent a set of
		measures focusing on those aspects of
		organizational performance that are the most
		critical for the current and future success of the
		organization. The KPI will be calculated on the
		results of the simulation model.
Knot	kn	Measure of ship speed, equal to one nautical mile
	1.0	(1,852 meters) per hour.
Land side	LS	Arbitrarily defined area for activities happening or
		areas located further away from the water. Typical
		land side areas/process are related to the gate
		(both for truck and train) and land side of the stack.
Lift-on lift off	Lo/lo	Cargo handling method by which vessels are
LITE OIT HITE OIT	LO/ 10	loaded or unloaded by either ship or shore cranes.
		iouaca or amouaca by cities ship of shore craftes.



Term	Abbrev.	Description
	Abbiev.	Description
Liquid bulk	-	Liquids that undergo commercial transportation in
		large volumes, ranging from petroleum products
		to vegetable oil or fruit juice.
Malacca-max	-	Maximum size of container and bulk vessels (in
		terms of draught) that can cross the Malacca
		Straits. The Malacca-max reference is believed to
		be today the absolute maximum possible size for
		future container vessels (approximately 18,000
		TEU).
Means of transport	MoT	Any vehicle that can travel or carry goods.
		Cumulative name for vessels, trains, vehicle
		and/or yard equipment
Mixed cargo	-	Or hybrid cargo. Two or more products carried on
_		board one transporter.
Mobile crane	-	General purpose crane capable of moving on its
		own from one place to another.
Moor	-	To attach a ship to the shore.
Moves per hour	Mph	KPI for Container Handling Equipment that
·	•	indicated the operational performance in moves
		per hours. A move can consist out of one or more
		container or boxes and is often viewed as a
		measure of terminal and CHE productivity.
Empty Container	MT	Container without any cargo in it.
Empty yard	-	Dedicated yard to store empty containers. Can be
		both internal at the terminal or external.
Empty handler	-	A large forklift for stacking empty containers.
Out of gauge	OOG	Cargo to be transported which does not fit in
		container slots (exceeds the internal dimensions of
		containers and needs to be loaded on an open top
		or flat rack.
Panamax	-	Maximum beam that allows vessels to pass
		through the locks of the Panama Canal
		(specifically used for dry bulk and container
		vessels). A limiting factor for ship sizes. Upon
		recent expansions a bigger classes of Post-
		Panamax and finally New-Panamax in 2016 are
		distinguished.
Pilotage	-	The act of assisting the master of a ship in
		navigation when entering or leaving a port or in
		confined water. Often superintended by a pilot
		from the port authority.
Port	-	Or seaport. Coastal location with a harbour where
		ships and dock and transfer goods to/from land.
Quay	-	A structure built parallel to the bank of a waterway
		to allow for vessel moorings. Container terminal



Term	Abbrev.	Description
		quays are strengthened to be able to withstand
		loads resulting from container handling.
Quay crane	QC	Collective name for any type of cranes located on
Quay orang		a quay to service moored vessels.
Rail yard		The area for the rail side handling of terminals.
, <b>,</b>		Consists of a set of railroad tracks for storing,
		sorting or loading railroad vehicles, buffer
		positions and possible small stack.
Rail mounted gantry	RMG	A crane built atop a gantry, the movements of
crane		which are limited by rails.
Reach stacker	RS	CHE used at many terminals for handling
	_	containers.
Reefer container	-	Refrigerated or environment-controlled container
		designed for keeping its storage at specific
		temperature. Needs additional resources for
		storage like connection to electricity grid.
Relay	-	Transfer of containers from one ship to another.
Roll-on roll-off	Ro/ro	Ro/ro is a cargo handling method whereby vessels
		are loaded via one or more ramps that are
		lowered on the quay.
Rubber tyred gantry	RTG	A mobile gantry crane set on wheels with rubber
crane		tyres. Contrary to a RMG, a RTG can move to e.g.
		another stack if desired.
Safe working load	SWL	Force that a piece of lifting equipment, lifting
		device or accessory can safely use to lift, suspend
		or lower a mass without fear of breaking.
		Measured in tons.
Ship-to-shore crane	STS	High capacity gantry QC.
Shunting yard		Or classification yard. A railroad yard with multiple
		tracks used for assembling freight trains.
Shuttle carrier	ShC	A horizontal transporter within a terminal, which
		can pick up containers from the ground.
Side loader	-	A lift truck fitted with lifting attachments
		operating to one side for handling containers.
Spreader	-	Piece of equipment to grab and lift containers by
		their corner castings. Attached to STS, RC and
		other CHE.
Stowage factor	-	The average cubic space occupied by one ton
		weight of cargo as stowed aboard a ship.
Stowage plan	-	Or bay plan. A plan and method by which
		container vessels are loaded with containers of
		specific sizes and destinations. Typically uses a
		bay-row-tier coordinate system.



Term	Abbrev.	Description
Straddle carrier	SC	A type of container terminal equipment capable of
		lifting and stacking containers, as well as
		horizontal transportation.
Stripping	-	Or unstuffing. Unloading of a container.
Tank container	-	An intermodal container for the transport of
		liquids, gases and powders.
Tare weight	-	The weight of wrapping or packing (e.g. an empty
		container); added to the net weight of cargo to
		determine its gross weight.
Terminal operating	TOS	Control system of a terminal responsible for
system		issuing instructions to workers and equipment.
TEU factor	-	A measure of average size of container within
		certain population.
Transhipment	-	A distribution method whereby containers or
		cargo are transferred directly from one vessel to
		another to reach their final destination.
Turnaround time	TAT	The time it takes between the arrival of a vessel
		and its departure from port; frequently used as a
		measure of port efficiency.
Twenty-foot	TEU	Standard (but inaccurate) measure of a 20 foot
equivalent unit		container length. The capacity (handling and
		storage) of terminals, stacks, CHE and vessels is
Todat la ali		often measured in TEU.
Twist-lock	-	A standardised rotating connector for securing
		shipping containers. Used together with a corner casting.
Ultra large container	ULCV	A class of large ships, whose size makes them too
vessel	JLCV	large to go through the Panama Canal.
Vessel manifest	_	Declarations made by international ocean carriers
vesser mannest		relating to the ship's crew and contents at both
		the port of departure and arrival. All bills of lading
		are registered on the manifest.
Water side	WS	Referring to areas or activities directly happening
		on or closely connected to water. Water side areas
		include e.g. apron and quay, and WS of stack.

#### 1.4 Structure

The present document is organized as follows:

• **Section 1:** contains an overview of this document, providing its Scope, Audience, and Structure.



- **Section 2**: contains all data requirements regarding the areas in the terminals and the general layout of the terminal.
- **Section 3**: contains all data requirements regarding the volumes that need to be handled and volume composition.
- **Section 4**: contains all data requirements regarding the quay, berths and vessels that need to be served.
- **Section 5**: contains all data requirements regarding the Container Handling Equipment that is used at the terminal.
- **Section 6**: contains all data requirements regarding the stack were the containers are stored.
- **Section 7**: contains all data requirements regarding the terminal operations and labour situation.
- Section 8: contains all data requirements regarding the rail terminal and rail operations.
- **Section 9**: contains all data requirements regarding the gate for trucks and the gate operations.



### 2 Terminal layout, areas and access to the terminal

#### 2.1 Layout of terminal and areas

A general specification of the layout of the terminal. The areas that are used and their function and dimensions of:

- Total terminal
  - o General dimensions of terminal
  - o Dimensions and description of the area (stack, apron, rail yard, etc.)
  - Driving routes within terminal (for both internal vehicles and road vehicles)
- Waterside / berth
  - Quay / berth dimensions
  - o Apron dimensions
  - Possible separate area for barge handling
- Stack
  - Stack dimensions (length, width and height in TEU)
  - Number of ground spots and stack positions
  - Special stacks for reefers
- Rail facilities / terminal
  - o Dimensions of rail facilities
  - Number of tracks (sidings and process tracks)
- Truck gate
  - o Dimensions of gate area
  - Number of gates (in/out)
  - Description of gate process
  - Scanners (nuclear and/or x-ray)
- Empty depot / yard
  - Capacity (storage)
- Inter-terminal facilities (ITT)
  - o Dimensions of areas

Drawings and/or designs of the terminal layout as detailed as possible. Preferable in

- CAD format (DWG, SFX, etc.)
- Industry standard formats, such as Land XML, RailML
- PDF



#### 2.2 Access to terminal

There are different types of access to/from the terminal:

- Deep sea access terminal
- River access terminal
- Rail access terminal
- Road access terminal

#### Required information

- A map of the port area with access routes
- Rough capacity of access (e.g. #vehicles-vessels-trains/hr or number of lanes)
- Possible restrictions or limitations (such as nautical depth restrictions/tides)

#### 3 Terminal volumes and flows

The volume that is, or expected to be, handled by the terminal. The volume is divided into containers and other cargo.

#### 3.1 Container volume data

**Table 2: Container volume** 

Data required	To be filled in by terminal
Total quay volume containers	
Yearly basis (In TEU)	
TEU factor	
General boxes	
Built-up of volume	
• Import (%)	
• Export (%)	
• Transhipment (%)	
Box types distribution	
<ul> <li>Normal boxes (%)</li> </ul>	
• Reefers (%)	



• Tank containers (%)	
Dwell times	
General or average	
(days)	
<ul><li>Import/export (days)</li></ul>	
Transhipment (days)	
Peak factors monthly	
<ul><li>Waterside (#)</li></ul>	
• Gate (#)	
• Rail terminal (#)	
Peak factors daily	
<ul><li>Waterside (#)</li></ul>	
• Gate (#)	
• Rail terminal (#)	

## 3.2 Other cargo volumes data

Other such as ore, (liquid) bulk, oil, etc.

**Table 3: Other cargo volumes** 

Data required	To be filled in by terminal
Total quay volume other cargo	
<ul><li>Type</li><li>Amount (tons)</li><li>Yearly lifts for OOG (#)</li></ul>	

## 3.3 Targets

The aimed performance for which the terminal is designed.

**Table 4: Performance targets** 

Data required	To be filled in by terminal

## D5.1 Terminal Simulation Information Requirements



Throughput per quay	
• TEU/m	
Throughput per area	
• TEU/ha	
STS/BC productivity	
• Mph	
<ul><li>Lifts/year</li></ul>	
Vessel / berth productivity	
• Mph	



## 4 Berth and vessels

### 4.1 Berth data

A description of the berths that are used.

Table 5: Berth data

Data required	To be filled in by terminal
Deep sea quay/berth	
<ul> <li>Length</li> <li>Number of berths (starting points and length)</li> <li>Depth of apron</li> </ul>	
Hatch cover handling	
<ul><li>Location</li></ul>	
Twist-lock handling	
• Location	
Barge berth	(in case of dedicated berth)
• Length	
Depth of apron	

If available a drawing or sketch of the berth and its dimensions.

### 4.2 Vessel data

Table 6: Vessel data

Data required	To be filled in by terminal
<ul><li>Vessel types</li><li>Vessel type/class</li></ul>	
<ul><li>Length (m and TEU)</li><li>Width ((TEU)</li></ul>	
<ul><li>Height (TEU)</li></ul>	



<ul><li>Call size (bx)</li><li>Required productivity (bx/hr)</li></ul>	
<ul><li>Arrival pattern of vessels</li><li>Weekly basis</li></ul>	
<ul><li>Berthing schedule</li><li>Arrival (ETA)</li><li>Location (berth)</li><li>Number of STS</li></ul>	



## 5 Terminal equipment

All data related to the terminal equipment or Container Handling Equipment that is used at the terminal for the handling of containers/cargo and secondary processes.

**Table 7: Terminal equipment data** 

Data required	To be filled in by terminal
<ul> <li>Type of crane (with or without platform, working between legs, etc.)</li> <li>Number of cranes</li> </ul>	
<ul> <li>Number of craftes</li> <li>Productivity (mph)</li> <li>Types of possible lifts (twin, tandem, dual cycle)</li> <li>Supplier and type</li> <li>Width/ span (TEU or m)</li> </ul>	
<ul><li>Lift height</li><li>Outreach</li><li>Maximum hoist load (tons)</li></ul>	
<ul> <li>Number of cranes</li> <li>Productivity (mph)</li> <li>Supplier and type</li> </ul>	
Stacking equipment (Strads, RTG, ARMG, etc.)  • Number of cranes • Productivity (mph) • Supplier and type • Operational height (e.g. 1 over 3 or 1 over 4, etc.))	



<ul> <li>Stacking span (width of a stack)</li> </ul>	
Horizontal transport (WS-	
stack)	
<ul> <li>Type of transport</li> </ul>	
(Terminal Tractor /	
AGV / shuttles)	
<ul> <li>Numbers of</li> </ul>	
transporters	
<ul><li>Speed (km/h)</li></ul>	
<ul><li>Capacity (TEU / bx)</li></ul>	
Horizontal transport (stack –	
rail terminal)	
Type of transport	
(Terminal Tractor /	
AGV / shuttles)	
<ul> <li>Numbers of</li> </ul>	
transporters	
<ul><li>Speed (km/h)</li></ul>	
Capacity (TEU / bx)	
Rail cranes	
<ul> <li>Number of cranes</li> </ul>	
<ul> <li>Productivity (mph)</li> </ul>	
<ul> <li>Supplier and type</li> </ul>	



## 6 Stack

Table 8: Stack data

Data required	To be filled in by terminal
General orientation	
Parallel or	
Perpendicular	
General size	
Total number of	
ground spots (TEU)	
Total storage space     Total storage space	
(TEU)	
<ul> <li>Average utilisation / filling rate (%)</li> </ul>	
Stack blocks	
<ul> <li>Number of blocks (#)</li> </ul>	
<ul><li>Length (TEU)</li></ul>	
Width (TEU)	
Height (TEU)	
Transfer zones	
Dedicated lanes (#)	
<ul> <li>Number of spots (#)</li> </ul>	
Reefer stack	
Total number of	
ground spots for	
reefers (TEU)	
<ul> <li>Total storage space</li> </ul>	
reefers (TEU)	
Empty containers	(in case of dedicated empty yard)
<ul> <li>Total number of</li> </ul>	
ground spots (TEU)	



Total storage space (TEU)	
Stack Container Handling Equipment (RTG, straddle carriers, ARMG)	
<ul> <li>Productivity (mph)</li> <li>Working height (1 over 4, 1 over 5, etc.)</li> <li>Width/span (TEU)</li> </ul>	

#### Table 9: OOG handling

Data required	To be filled in by terminal
Description of OOG handling process	
Container handling equipment used for OOG	
• Type	
<ul><li>Number</li></ul>	
<ul> <li>Dedicated (or pooled with other CHE)</li> </ul>	



# 7 Terminal operations and staffing

Table 10: terminal operations and staffing

Data required	To be filled in by terminal
Overview of the shift- structure	
<ul> <li>Number of shifts (#)</li> <li>STS / QC pool (# of employees)</li> <li>CHE pool (# of employees)</li> </ul>	
Opening days of terminal per year  • Berths /WS (#)	
<ul><li>Gate(#)</li><li>Rail terminal (#)</li></ul>	
Opening hours berth /WS	
Opening hours gate	
Opening hours rail terminal	



## 8 Rail Terminal and operations

This section focusses on the specifics of the rail operations of the terminal.

Table 11: rail terminal and operations

Data required	To be filled in by terminal
Layout of rail terminal	
Number of tracks (#)	
<ul> <li>Length of tracks</li> </ul>	
<ul> <li>Driving routes stack –</li> </ul>	
rail	
Buffer positions (#)	
Horizontal transport stack- rail	
• Type	
<ul> <li>Number of vehicles</li> </ul>	
<ul><li>Decoupling?</li></ul>	
Trains	
<ul> <li>Type</li> </ul>	
• Length (m)	
<ul> <li>Number of wagons</li> </ul>	
(#)	
<ul> <li>Number of TEU</li> </ul>	
Call size (TEU)	
Train process	(for example: train arrival, decoupling locomotive,
General description	(un)loading, etc.)
of the rail process	
<ul> <li>Process times</li> </ul>	
Weekly arrival pattern trains	
Type of train	
Arrival time	
<ul> <li>Length of train</li> </ul>	
• Call size (boxes)	
<ul> <li>Origin</li> </ul>	

D5.1	Terminal	Simulation	Information	Requirements
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• Destination



# 9 Gate and operations

**Table 12: Gate and gate operations** 

Data required	To be filled in by	terminal		
Yearly gate volume (TEU)				
Containers to be handled	Delivery	Pickup		%
	0	1		
	0	2		
	1	0		
	1	1		
	1	2		
	2	0		
	2	1		
	2	2		
3 TEU trucks	(if applicable)			
Peak hour performance (TEU/hr)				
Weekly pattern	day		%	
	Monday			
	Tuesday			
	Wednesday			
	Thursday			
	Friday			
	Saturday			
	Sunday			



Daily pattern	Hours	%
	0-2	
	2-4	
	4-6	
	6-8	
	8-10	
	10-12	
	12-14	
	14-16	
	16-18	
	18-20	
	20-22	
	22-24	
High gate process description	(for example: security gat	e in – visual gate –
<ul><li>Steps</li></ul>	administrative gate)	
(name/description)		
<ul> <li>Process times (s)</li> </ul>		
Overflow for trucks		
<ul> <li>Number of parking</li> </ul>		
spots		
Number of lanes		
• In (#)		
• Out (#)		
• Combined (#)		

If available a drawing or sketch of the gate and its dimensions.



## References

n/a



# **INTERMODEL EU**

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D5.1 Part 2 – DATA MODEL
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		Paweł Kołodziejczyk		

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### **Executive Summary**

The INTERMODEL EU project aims at developing an integrated decision support platform to assess different pilot cases of multimodal, multiproduct and multipurpose freight rail terminals in terms of a wide range of Key Performance Indicators (KPIs) and Key Result Indicators (KRIs). By integrating simulation modules of the terminal operation and its relationship to the hinterland into a BIM design, both the quickness and the quality of the decision-making will be improved.

The main objective of WP5 is to build a decision support environment that supports in optimizing the design and the operational performance of freight terminals. To reach this objective it is considered a data model that describes all relevant data used in the simulation component library. This library is developed into an operational simulation that handles all sorts of freight terminals. The system is connected to integrated planning environment and demonstrated in pilot case studies.

This document shows the results from the T5.1 Data collection. The data model describes what data will be used in the operation simulation models. The work has been performed between 1<sup>st</sup> September 2016 and 28<sup>th</sup> February 2017 (M1-M6). This is a final version of publishing time, and it will be updated if necessary during the project.

On behalf of authors,

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## **Table of contents**

Exe	cutiv	e Summary	. 3		
1.	Introduction				
2	l.1.	Scope	. 7		
2	l.2.	Audience	. 7		
2	l.3.	Glossary and Abbreviations	. 8		
2	L.4.	Structure	13		
2	l.5.	Scope and Assumptions	13		
-	L.6.	Port Layout	15		
2.	Dat	a Model	17		
2	2.1.	Port infrastructure	17		
2	2.2.	Terminal elements	19		
2	2.3.	Waterside resources and vessels	21		
2	2.4.	Intermodal Transport Unit	23		
2	2.5.	Rail network elements	24		
2	2.6.	Road network elements	25		
2	2.7.	Truck instance elements	26		
2	2.8.	Train instance elements	26		
2	2.9.	Terminal rail yard resources	27		
3.	Inp	ut and output data	29		
3	3.1.	Scenario handling	29		
3	3.2.	Replications	30		
3	3.3.	Input data	31		
3	3.4.	Volumes split for arrival generation	32		
3	3.5. Output data		33		
Bib	Bibliography35				
Ap	Appendix36				
9	Stochastic distributions				
9	Simplified Data Model				
6	External MoTs39				
	Vessel tables				
	Trai	in tables	40		
	Tru	ck tables	41		
	Ma	trix tables	41		
(	Cargo				



Terminal equipment	. 43
Terminal areas	. 45
Output data	. 48
Results tables	. 48
Logs	. 49
Animation data	. 52
List of Figures	
Figure 1. Functional outline of a port with 2 container terminals	. 16
Figure 2. Port infrastructure elements	
Figure 3. Terminal elements depiction	
Figure 4. ERD part displaying waterside resources and vessels	
Figure 5. Intermodal transport unit (ITU) split	
Figure 7. Road network elements structure	
Figure 8. Track instance element depiction	
Figure 9. Train instance element depiction	
Figure 10. Terminal rail yard elements depiction	
Figure 11. Sequence of generating arrivals processes	
Figure 12. Entity relationship diagram in Crow's foot notation. Attributes skipped	
List of Tables Table I. Supported distributions table	. 36
Table II. Vessel type definitions	
Table III. Vessel generation properties	
Table IV. Vessel entity properties	. 39
Table VI. Train definitions table	. 40
Table VI. Railcar definitions table	. 40
Table VII. External locomotive definitions table	. 40
Table VIII. Port locomotive definition table	
Table IX. Truck parameters	. 41
Table X. Intermodal Transport Unit types	. 42
Table XI. Container categories and sizes	. 42
Table XII. ITU definitions table	. 42
Table XIII. Container definitions table	. 43
Table XIV. Out of Gauge item definition	. 43
Table XV. Ship-to-Shore crane entity parameters	. 43
Table XVI. Barge crane entity parameters	. 44
Table XVII. Stacking equipment entity table	. 44
Table XVIII. Terminal transporter entity table	. 45
Table XIX. Terminal trailer entity table	
Table XX. Terminal parameters table	. 45
Table XXI. Stack block entity table	
Table XXII. Stack spot table	. 46

## D5.1 Data Model



Table XXIII. Stack transfer spot table	46
Table XXIV. Berth definition table	
Table XXV. Quay definitions table	46
Table XXVI. Access channel definitions	47
Table XXVII. Gate definition table	47
Table XXVIII. Rail terminal definition table	47
Table XXIX. Simulation overview results	48
Table XXX. Equipment utilisation result table	48
Table XXXI. Gate utilisation result table	
Table XXXII. Berth utilisation result table	49
Table XXXIII. Terminal throughput log	49
Table XXXIV. Storage area utilisation log	
Table XXXV. Vessel times log	50
Table XXXVI. Train times log	51
Table XXXVII. Truck times log	



#### 1. Introduction

#### **1.1. Scope**

This document provides a general overview of the data modelling activities, and supporting measures vital in establishing a structure of data for the terminal simulation model. It describes the data architecture, relations between entities, as well as names, types and units of defined variables. Data model also formulates which entries are required, what are possible values of certain fields, and how do they relate to other items.

A data model is a necessary intermediate step to create a conceptual simulation model of a container terminal hub, and finally to build a simulation tool based on it. Together with the D5.1 Data requirements for Terminal Simulation document governs the extent and structure of data in the simulation tool. The model is initially limited to operational simulation, giving predictions on operational indicators. In the future, it is desired to extend the model with financial indicators, and possibly safety and environmental factors.

The goal is to create a logical data model, and not a database design, to facilitate the integration of data, disseminate data requirements, expedite communication of data aspects among project participants, and to avoid redundancy in data. It needs to make sure that all identified functional objects are completely and accurately represented. Moreover, a data model allows for easier and better identification of important aspects, addition of new features, and possible correction of mistakes.

Although independent from a physical database, a data model needs to be sufficient to allow creating one. Moreover, a data diagram is completely process independent, and does not describe data transformation. As such, it only deals with data, its structure including relations, but not with how it is obtained, processed or physically stored. These are defined as a part of a conceptual model in deliverable D5.2.

#### 1.2. Audience

This document is written for the participants of the H2020 INTERMODEL EU project.



# 1.3. Glossary and Abbreviations

Term	Abbrev.	Description
Actual time of arrival	ATA	The time a MoT actually arrives at a location.
Actual time of	ATD	The time a MoT actually departs from a location.
departure		
Anchorage point	AP	A place where vessels can anchor safely before the entry
		to a port.
Animation	-	A visualisation of the events that occur in the system that
		is being simulated over time.
Automated Guided	AGV	Unmanned horizontal transporter controlled by the TOS
Vehicle		or Equipment Control System.
Automated Rail	ARMG	See RMG / ASC.
Mounted Gantry		
Automated Stacking	ASC	A cumulative name for automated, unmanned cranes
Crane		servicing container stacks, typically an ARMG.
Baseline scenario	-	Also called Base Case. A scenario in which the analysis
		is done based on the current way of working in a place,
		without changes. This scenario serves as a comparison
		and starting point to other scenarios
Bayplan/stowage plan	BAPLIE	Plan of exact positions of the cargo on board both for a
occupied and empty		situation at a given time and in a near future (i.e. after
locations message		handling).
Beam	-	Width of a ship measured in either meters or TEU.
Barge	-	A flat-bottomed boat typically, though not always, without
		own propulsion used to transport heavy goods mainly on
		rivers and canals.
Barge crane	BC	Crane dedicated to servicing barges and small feeders.
Berth		A place alongside a quay in which a vessel is moored.
Berthing schedule		A schedule providing information on the estimated arrivals
		and departures of vessels per berth.
Bill of landing	-	A document that establishes the detailed list of vessels
		cargo between a shipper and a transportation company. It
		serves as a document of title, a confirmation of carriage,
		and a receipt for goods.
Capacity (handling)	-	The number of containers or goods that can be handled
		by equipment in a certain time window.
Capacity (storage)	-	The amount of goods that can be stored in a particular
		place (stack) or vehicle. Can be expressed in volume,
		mass, units, etc.
Container Handling	CHE	Any equipment used for lifting, transporting and/or
Equipment		supporting the servicing of containers.
Control (layer)	-	All elements in the simulation tool that represent control
		over equipment, means of transport and infrastructure.
Corner casting	-	Part of a shipping container used together with twist-lock
		to secure cargo during transportation.

Term	Abbrev.	Description
Customs	-	Customs in an authority responsible for collecting tariffs
		and controlling the flow of goods into and out of a country.
		Customs also inspects cargo in search for contraband.
Data	-	A set of values of qualitative and/or quantitative variables.
		Pieces of data are individual pieces of information.
Dashboard	-	A set of KPIs joined together in a single overview screen.
		This way a user gets the whole overview of the
		performance aspect in one view.
Data model	-	An abstract model that organizes elements of data and
		standardizes how they relate to one another and to
		properties of the real-world entities.
Distribution	-	Mathematical description of a random phenomenon in
		terms of the probabilities of events. The PSP platform
		contains many of the distribution used in simulation
		(normal, uniform, etc.).
Demurrage	-	A penalty fee for delaying the carrier's equipment beyond
-		the allowed free time.
Deep sea	DS	Pertaining to areas or activities not in close proximity to a
		port, but farther out in the sea.
Draft	-	Or draught. Depth of a vessel remaining under water.
Dry bulk	-	Loose cargo transported in bulk carriers, e.g. coal, ores,
		fertilizers.
Dry port	-	Or inland port. Intermodal terminal directly connected by
		road or rail to a seaport and operating as a transhipment
		base for other hinterland destinations.
Dwell Time		The time goods (or containers) stay or are stored at the
		terminal.
Equipment Control	ECS	Middleware that provides container handling equipment
System		coordination and control as well as a single interface to
		TOS.
Estimated time of	ETA	A measure of indication when an MoT is planned or
arrival		scheduled to arrive at a particular place.
Estimated time of	ETD	Indication when an MoT is to depart from a location.
departure		Comparing estimated with actual times is a measure of
F		scheduling performance.
Event	-	An instance when a state change in the system might
E		occur.
Experiment	-	A number of simulation runs in which a single scenario is
E. I. C. I	FFII	studied.
Forty-foot equivalent	FEU	Measure of container length equal to 2TEU, used less
unit		frequently.
Gateway	-	Point at which freight moving from one territory to another
Harbour master		is interchanged between transportation lines.
Harbour master	-	Officer who is in charge of vessel movements, safety,
Infractructure (lever)		security, and environmental issues within a port.
Infrastructure (layer)	-	All elements in the simulation tool that represent
		infrastructure (tracks, sidings, crossings, switches, areas,
		etc.). This will be an input from the BIM.

Term	Abbrev.	Description	
Inland waterway	IWT	Shipping of goods on rivers and canals, usually carried	
transport		out on barges.	
Inter-terminal transport	ITT	Inter-Terminal Transport to facilitate transport of	
'		containers between terminals in one port.	
Intermodal	-	Movement of cargo containers interchangeably between	
		transport modes where the equipment is compatible	
		within the multiple systems.	
Intermodal transport	ITU	Container, swap body or semi-trailer/goods road motor	
unit		vehicle suitable for intermodal transport.	
International Maritime	IMO	Specialised agency of the United Nations responsible for	
Organisation		regulating shipping defines 9 classes of (dangerous)	
		goods, which need special handling.	
Jetty	-	Or pier. Structure that is perpendicular or at an angle to	
		the shoreline to which a vessel is secured for the purpose	
1/	KDI	of loading and unloading cargo.	
Key performance	KPI	Indicator that tells what to do to increase performance	
indicator		dramatically. They represent a set of measures focusing	
		on those aspects of organizational performance that are the most critical for the current and future success of the	
		organization. The KPI will be calculated on the results of	
		the simulation model.	
Knot	kn	Measure of ship speed, equal to one nautical mile (1,852)	
KIIOt	KII	meters) per hour.	
Land side	LS	Arbitrarily defined area for activities happening or areas	
Edita Sido		located further away from the water. Typical land side	
		areas/process are related to the gate (both for truck and	
		train) and land side of the stack.	
Lift-on lift off	Lo/lo	Cargo handling method by which vessels are loaded or	
		unloaded by either ship or shore cranes.	
Liquid bulk	-	Liquids that undergo commercial transportation in large	
		volumes, ranging from petroleum products to vegetable oil	
		or fruit juice.	
Malacca-max	-	Maximum size of container and bulk vessels (in terms of	
		draught) that can cross the Malacca Straits. The Malacca-	
		max reference is believed to be today the absolute	
		maximum possible size for future container vessels	
Magna of tree	MaT	(approximately 18,000 TEU).	
Means of transport	MoT	Any vehicle that can travel or carry goods. Cumulative	
Miyod cargo		name for vessels, trains, vehicle and/or yard equipment	
Mixed cargo	-	Or hybrid cargo. Two or more products carried on board	
Mobile crane	_	one transporter.	
INIONIIC GIAIIC	_	General purpose crane capable of moving on its own from one place to another.	
Moor	_	To attach a ship to the shore.	
Moves per hour	Mph	KPI for Container Handling Equipment that indicated the	
oroo por nour		operational performance in moves per hours. A move can	
		consist out of one or more container or boxes and is often	
		viewed as a measure of terminal and CHE productivity.	
	1	in the second of the second of the productivity	

Term	Abbrev.	Description
Empty Container	MT	Container without any cargo in it.
Empty yard	-	Dedicated yard to store empty containers. Can be both
Linkty Jai a		internal at the terminal or external.
Empty handler	-	A large forklift for stacking empty containers.
Out of gauge	00G	Cargo to be transported which does not fit in container
gaage		slots(exceeds the internal dimensions of containers and
		needs to be loaded on an open top or flat rack.
Panamax	-	Maximum beam that allows vessels to pass through the
		locks of the Panama Canal (specifically used for dry bulk
		and container vessels). A limiting factor for ship sizes.
		Upon recent expansions a bigger classes of Post-
		Panamax and finally New-Panamax in 2016 are
D'I I		distinguished.
Pilotage	-	The act of assisting the master of a ship in navigation
		when entering or leaving a port or in confined water. Often
David		superintended by a pilot from the port authority.
Port	-	Or seaport. Coastal location with a harbour where ships
Outou		and dock and transfer goods to/from land.
Quay	-	A structure built parallel to the bank of a waterway to allow
		for vessel moorings. Container terminal quays are strengthened to be able to withstand loads resulting from
		container handling.
Quay crane	QC	Collective name for any type of cranes located on a quay
Quay cranc	QC	to service moored vessels.
Rail yard		The area for the rail side handling of terminals. Consists
rtan yara		of a set of railroad tracks for storing, sorting or loading
		railroad vehicles, buffer positions and possible small
		stack.
Rail mounted gantry	RMG	A crane built atop a gantry, the movements of which are
crane		limited by rails.
Reach stacker	RS	CHE used at many terminals for handling containers.
Reefer container	-	Refrigerated or environment-controlled container
		designed for keeping its storage at specific temperature.
		Needs additional resources for storage like connection to
		electricity grid.
Relay	-	Transfer of containers from one ship to another.
Roll-on roll-off	Ro/ro	Ro/ro is a cargo handling method whereby vessels are
		loaded via one or more ramps that are lowered on the
D. b.b., J. J. J.	DTO	quay.
Rubber tyred gantry	RTG	A mobile gantry crane set on wheels with rubber tyres.
crane		Contrary to a RMG, a RTG can move to e.g. another stack
Cofo working load	CMI	if desired.
Safe working load	SWL	Force that a piece of lifting equipment, lifting device or
		accessory can safely use to lift, suspend or lower a mass
Scenario	_	without fear of breaking. Measured in tons.  A situation that the user wants to study in the simulation
JUETIANU	_	tool. An experiment is the cross section of volume, control,
		equipment and infrastructure.
		equipment and initastructure.

Term	Abbrev.	Description			
Ship-to-shore crane	STS	High capacity gantry QC.			
Shunting yard		Or classification yard. A railroad yard with multiple tracks			
		used for assembling freight trains.			
Shuttle carrier	ShC	A horizontal transporter within a terminal, which can pick			
		up containers from the ground.			
Side loader	-	A lift truck fitted with lifting attachments operating to one			
		side for handling containers.			
Spreader	-	Piece of equipment to grab and lift containers by their corner castings. Attached to STS, RC and other CHE.			
Stowage factor	-	The average cubic space occupied by one ton weight of			
_		cargo as stowed aboard a ship.			
Stowage plan	-	Or bay plan. A plan and method by which container vessels are loaded with containers of specific sizes and destinations. Typically uses a bay-row-tier coordinate system.			
Straddle carrier	SC	A type of container terminal equipment capable of lifting and stacking containers, as well as horizontal transportation.			
Stripping	-	Or unstuffing. Unloading of a container.			
Tank container	-	An intermodal container for the transport of liquids, gases			
		and powders.			
Tare weight	-	The weight of wrapping or packing (e.g. an empty container); added to the net weight of cargo to determine its gross weight.			
Terminal operating system	TOS	Control system of a terminal responsible for issuing instructions to workers and equipment.			
TEU factor	-	A measure of average size of container within certain population.			
Transhipment	-	A distribution method whereby containers or cargo are transferred directly from one vessel to another to reach their final destination.			
Turnaround time	TAT	The time it takes between the arrival of a vessel and its			
		departure from port; frequently used as a measure of port efficiency.			
Twenty-foot equivalent unit	TEU	Standard (but inaccurate) measure of a 20 foot container length. The capacity (handling and storage) of terminals, stacks, CHE and vessels is often measured in TEU.			
Twist-lock	-	A standardised rotating connector for securing shipping containers. Used together with a corner casting.			
Ultra large container	ULCV	A class of large ships, whose size makes them too large			
vessel		to go through the Panama Canal.			
Vessel manifest	-	Declarations made by international ocean carriers relating to the ship's crew and contents at both the port of departure and arrival. All bills of lading are registered on the manifest.			
Water side	WS	Referring to areas or activities directly happening on or closely connected to water. Water side areas include e.g. apron and quay, and WS of stack.			



### 1.4. Structure

- Chapter 1: contains an overview of this document, providing its Scope, Audience, and Structure.
- **Chapter 2**: details the data model, its structure and relationships.
- Chapter 3: describes requirements for scenarios as well as input and output data.
- Appendix: contains detailed data model structure and defined data tables for the model.

# 1.5. Scope and Assumptions

Creating a simulation model requires a clear formulation of its scope and made assumptions, as a model is only a simplified version of the actual investigated system. Furthermore, a good practice is to concentrate on modelling the problem, i.e. intermodal transport, so that it is useful to help in answering a set of questions, and not on modelling the complete system as it is. Reducing the complexity of unnecessary aspects or exceptional cases to a valuable framework necessitates careful consideration of which features are requisite, and which are not. This requires certain simplifications and concise boundaries, so that the simulation model is kept clear, manageable and easy to use. Although this needs to be fully formulated at a conceptual model stage, a certain number of them is necessary for the data model as well. Thus, the following are to be included in scope:

- Processes necessary to devise intermodal transport hub, starting with generation and arrivals of the Means of transport (MoTs), their access restrictions to a port or a terminal, handling the MoTs (including loading and unloading), horizontal transport within terminals, stacking of containers in a yard, some special handling processes, and finally departures of the MoTs
- A single container terminal in a port, with possible extensions in the future;
- Control by simplified Terminal Operating System, mainly related to routing logic and task selection strategies, in accordance with dispatching rules principle.



### Out of scope is:

- Anything happening outside of the port, i.e. once the MoTs leave the PBS, port road network or port rail access node<sup>1</sup>;
- Other, not container, terminals in the port;
- Simulation of individual people and their tasks;
- Incorporation of a full-fledged control system, both for a Terminal Operating System and an Equipment Control System;
- Other traffic than defined MoTs in a terminal or port;
- Handling items that are not intermodal transport units.

The following assumptions are made within the simulation model:

- Terminals can always be accessed by road trucks and trains, but not necessarily by vessels, when there is no physical access channel;
- Any box that does not fit ISO container sizes and/or fit within cargo bay container slot is an OOG item;
- MoTs do not break down or require maintenance. Yet, maintenance effects can still be taken into account in the simulation model;
- There are no accidents or any other occurrences disrupting operations of the MoTs, other than the MoTs themselves (like blocking other MoTs due to their location or dependency);
- External MoTs always wait until all of their planned cargo has been unloaded and/or loaded. This way containers are not left behind;
- There are no changes to available CHE or infrastructure during the simulation run;
- Containers do not get damaged or lost, though might require special handling like customs check;
- Containers do not need to be repositioned on a vessel to allow for stripping other containers;
- Sufficient workforce is always available and their activities done in a manner that does not delay operations of modelled equipment;
- Trains are always so called block trains, that is there is no attaching/detaching or swapping railcar sequence within a train;

<sup>&</sup>lt;sup>1</sup> In the WP5 we look at terminals in isolation. WP7 extends the analysis with network connections between terminals.



- Equipment utilised on a terminal is consistent in type. There is no mixing of operations of vastly different types of equipment, i.e. AGVs are not employed at the same terminal area with terminal tractors or straddle carriers;
- Model checks for feasibility of some of the input data and stops giving an error
  if that is violated, in cases of e.g. vessels not fitting their assigned berth, too small
  STS cranes to service a vessel, etc.;
- Weather conditions do not disrupt terminal operations. Possible downtime due to e.g. strong wind is incorporated in average number of operational days in a year.

# 1.6. Port Layout

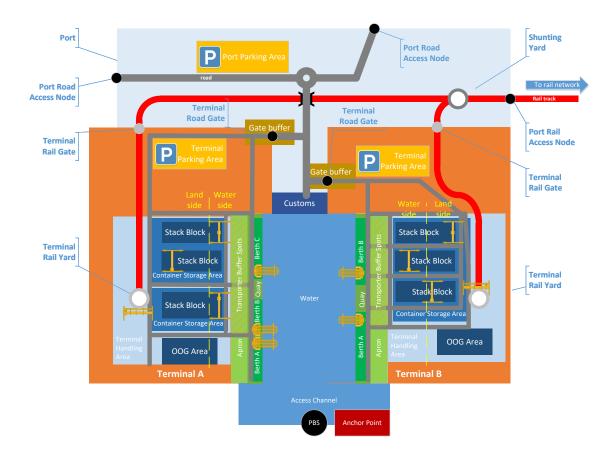
A schematic overview of a port with two container terminals is shown in Figure 1. It is an explanatory figure to visually represent functional areas in a port, and not a design of any particular port. Especially that some areas are disproportional to typical designs, to emphasise critical places. It needs to be noted that a division of quayside into berths as well as terminal handling area into water side and land side is arbitrary, done during the design process, to facilitate specific needs of a terminal.

As shown in Figure 1, there are three ways to access a terminal within a port:

- By a vessel
- By rail
- By a truck

For the purpose of the project it is assumed that connections by rail and road trucks are always available. In case of a dry port in a hinterland, vessel access might not be possible, even for barges via river.

Figure 1. Functional outline of a port with 2 container terminals





### 2. Data Model

A relational approach to describing a data model, i.e. an abstract organisation and standardisation of distinguished aspects, is chosen. Characterising it as a collection of predicates has many advantages, ranging from storing the data only once to allowing for future expansion. As a method, an entity-relationship (ER) model using the Crow's foot notation (Coronel & Morris, 2014) is selected for documentation purposes, as one of the most commonly used forms.

Microsoft Visio 2013 is used to create a logical model in the Crow's foot notation (Microsoft, 2016). Due to considerable size, not all relations are drawn in the diagram, the attributes and data types are also omitted. Moreover, generation of MoT arrivals as well as data output is treated separately. A simplified diagram without attributes is shown in Figure 12 in the Appendix, due to its considerable size. Detailed tables describing the attributes, units, requisite values, are included further on.

#### 2.1. Port infrastructure

A port is defined as a location on a coast with at least a single terminal, at which a ship can moor and transfer cargo or passengers. In this project a starting point is a port with a single container terminal, which is to be extended to multiple terminals in the future. For example, Port of Rotterdam has 13 container terminals (Port of Rotterdam Authority, 2016), though some of them are rather small. In the middle of Figure 2 this basic relation is shown, among other connections to, mostly infrastructural, elements of a port.

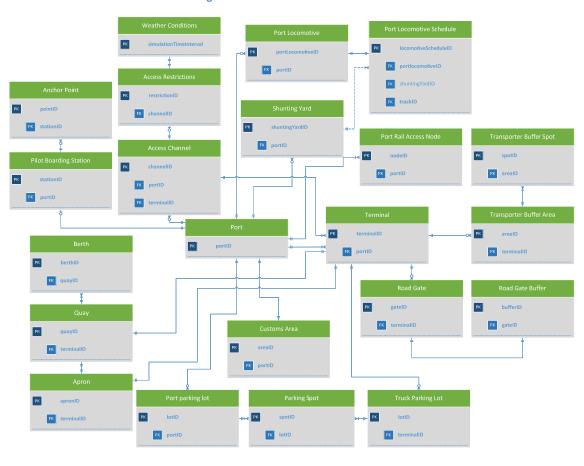


Figure 2. Port infrastructure elements

Only a seaport has components connected to water. A vessel arrives at a pilot boarding station (PBS) according to its schedule. This location is a boundary (access point) for the model. If an entry is impossible at a given time, a vessel has to wait at an anchor point (AP), until it can gain access to a berth. Travel from the PBS to a berth happens via an access channel or river. Depending on the location of a terminal, the duration of the sailing differs. Crossing the access channel can be further limited by access restrictions, due to e.g. changing water depth (tides), storms, ice or even safety regulations (like daylight requirements), and locks. As the weather conditions change over time, they have a direct link to access restrictions, and sailing time durations. Ships moor at a particular terminal, which has a quay, divided into berths. A berth is a functional division of a quayside, often varying in length and water depth. Because of that, sometimes more than a single vessel can be moored to a berth at given time, provided there is space for that. As such a berth determines the maximum length of a ship it can accommodate. Berths can further be categorised into deep sea and short sea/inland, with appropriate equipment always at hand. A quay is encompassed by an apron, an area supporting handling of ships, and not storing containers. Naturally, a dry port has neither a quay, nor an apron.



A port might have a parking lot for incoming external trucks, which for some reason do not enter their destination terminal, but have to wait. Such car parks have a certain capacity and are built up of parking spots, where a spot is a place for a single truck or trailer. Similarly, terminals have parking lots for external trucks to wait, but also for internal transporters. If desired the spots can be limited to a particular type of transport. External trucks enter a terminal via a road gate, where several processes, including identification or inspection are carried out. In case of irregularities there is a road gate buffer, where special handling can be done. Then, just for internal transporters there can be buffer areas, consisting of buffer spots for a single transporter, where they are gathered expecting to be soon required to process a ship.

Trains access the port via a port rail access node to normally arrive at a shunting yard, where they are processed and sent to terminals. A port can have a few shunting yards to assemble trains, wait, perform inspections, etc. Each of them is comprised of several siding tracks, where the trains can be parked in a manner not disrupting other trains. Often at a shunting yard, external electric locomotives are swapped with internal diesel ones, as the tracks are not always electrified. Port locomotives are thus a resource of a port, enabling trains to enter the terminals. Moreover, some terminals, especially liquid bulk terminals, employ additional shunting locomotives for manoeuvring within terminals like assembling trains, moving detached railcars or servicing loading stations. Some trains need to access multiple terminals, according to their schedule, and might wait at a terminal or a shunting yard. Port locomotive's schedule defines then at which point a port locomotive needs to be at a shunting yard to be attached to a train, and then executes the schedule of the train. Small ports might not have a shunting yard, in the case of which a train proceeds directly to a terminal's rail yard via a rail gate.

A port also has other functional areas on land, the most important of which for the project is customs area. Customs officials can call in containers for inspection, which requires additional handling.

### 2.2. Terminal elements

A terminal, or container terminal in this case, has a few functional areas and some equipment characteristic to its operations. It is assumed that a terminal has a single terminal handling area (shown in top left corner in Figure 3), which is a part of a terminal, where operations on ITUs are carried out. It is an arbitrary modelling decision to distinguish one, and it does not limit multiple separate stacks, OOG or empties areas.



Although there are other functional areas within the terminal handling area the data structure distinguished two: out of gauge (OOG) and container storage areas, which are used for storing out of gauge items and containers respectively. In an OOG area items are placed on the ground and not stacked. Container storage area consists of typically several stack blocks, i.e. separated segments of containers. Stack blocks can be regular (mixed) or for empty containers, and they are defined by their ground spots and height.

A set of ground spots comprise a plan, called a stack map, on where what type and length of container is placed in a stack block, so that the TOS can make planning based on that, which includes the standardised width and height considerations as well. It is not common that a stack map configuration is changed or that different types of container are placed on not-corresponding spots. A stack spot is a location in a bay-row-tier nomenclature of where a single container can be placed. Every stack spot has a corresponding ground spot, and for stack spots in tier 1, they are equivalent to their ground spots.

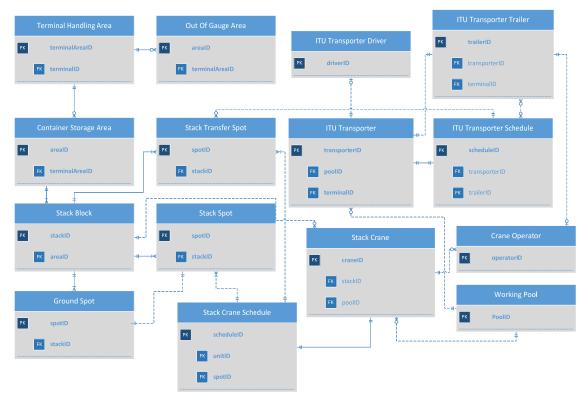


Figure 3. Terminal elements depiction

A stack block can be serviced by a single, or multiple stack cranes, especially when the terminal utilises RTG cranes or straddle carriers. A crane picks a top container from the stack and moves it to one of the stack's transfer spots, where an internal transporter or external truck is already waiting, and places it on top of a trailer. If the desired container is not on top, a so called digging needs to be done, which is moving other containers



from above the desired one to other places in the stack, before grabbing the right container. Picking containers and placing them in the stack is done in a similar manner. To limit the amount of unproductive moves and the time loss when digging for containers, a process called housekeeping is executed during less busy periods. It is generally known when containers will be removed from a stack, and preparations can be made to shift their positions in the stack to more efficient ones. As it is a complex process that needs to accommodate sudden changes and incoming containers to the stack, its control is carried out by the TOS.

A stack crane abides a schedule, that defines which containers need to be moved and where. It can also contain movements characteristic to more complex stack blocks with multiple cranes, defining container handovers or avoiding conflicts and deadlocks. A crane might have and operator, controlling its behaviour, and some support workers, handling locks, plugging reefers etc.

An ITU transporter is a horizontal transporter, transferring ITUs between areas within a terminal. It can be a terminal tractor, an AGV (both with a fixed or detachable trailer), or a shuttle carrier. In some cases, where straddle carriers are used, horizontal transport and stacking is done by the same vehicle. In case trailers for ITU transporters are detachable, a trailer can be decoupled at a parking or buffer spot to be serviced, while the transporter goes to perform further tasks. This obviously introduces and additional layer of scheduling complexity. However, while transporters must have their own schedule to know when and where to go, additional schedule is not required by a trailer, even though it influences the main transporters movements. This is because a trailer does not have a propulsion and is completely dependent on the ITU transporter. Then, terminal tractors and possible shuttle carriers require a driver to operate.

Cranes or transporters can be in a pool, which is a distinguished group of resources working together. Sometimes several internal transporters are bundled to a particular crane and service only it. Such approach significantly reduces scheduling complexity, but is a less efficient way of resource utilisation.

#### 2.3. Waterside resources and vessels

A diagram for waterside resources and vessels is distinguished in Figure 4.

A container vessel is the central entity in the diagram. A vessel, as any other entity is identified by its ID, which can be e.g. its name. A container vessel typically is a deep sea ship but can also be a coastal feeder or inland barge. Every container vessel has exactly



one bay plan, which is a description of slots for containers on the ship. Thus, it can be known which types of containers can be placed where, and what is their exact place.

A container vessel also has exactly one mooring schedule, i.e. a plan for arrivals at its destinations at a particular time. Estimated time of arrival is given for its reaching assigned berth. In some ports or for some vessels it is necessary to use a tugboat to help manoeuvre the container vessel, due to e.g. physical limitations of the access channel. A vessel type also has indirect influence on access restrictions, mainly due to its length or draft.

Moreover, many ports require a pilot to assist in leading a vessel from the pilot boarding station (PBS) to the berth, mainly for safety reasons. Tugboats and pilots are resources of a port, and not a particular terminal. Neither tugboats nor pilots are required for an inland waterway transport.

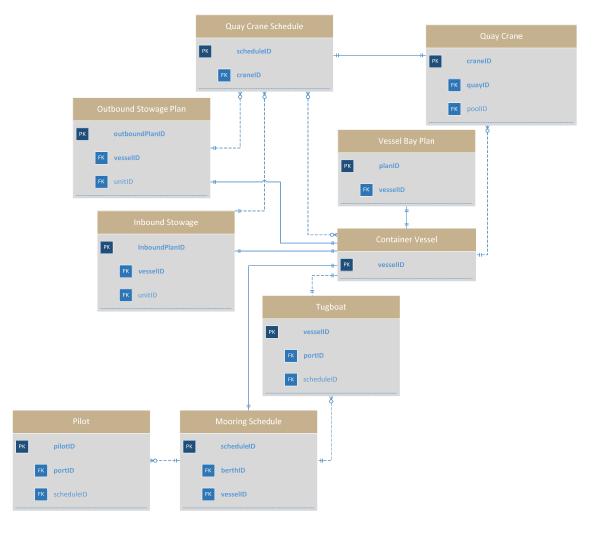


Figure 4. ERD part displaying waterside resources and vessels



When it comes to cargo, a vessel is generated at a Pilot Boarding Station (PBS) and comes to the terminal with a certain cargo on board, called inbound stowage. This describes which slots are occupied, and what sizes the containers have (The German Insurance Association, 2017). Similarly, there is a plan for outbound stowage, which is the cargo with which the vessel should leave. The difference between inbound and outbound stowage is the handling done at the terminal, thus a similar approach as BAPLIE. The order in which the containers are stripped or loaded to a vessel is not a concern for a vessel, as it is moored just waiting to be serviced.

Inbound and outbound stowages have an impact on the schedules for quay cranes. Each quay crane has a single schedule (or dispatching rules), defining where to be when, how to service a vessel and how to interact with other cranes as well as internal transporters. Typically a quay crane has an operator and a few supporting workers for handling e.g. twist-locks, visual container inspection etc.

# 2.4. Intermodal Transport Unit

An intermodal transport unit (ITU) is not a name of a single type of object, but rather a collective name for several, different types of standardised transportation units suitable for multimodal transport including containers, semi-trailers and swap bodies. For the purpose of the simulation model two types of ITUs are distinguished: containers and out of gauge items, as shown in

.

Figure 5. Intermodal transport unit (ITU) split



A container is a box with sizes in accordance to ISO norms, which can be stacked, and placed and secured in pre-constructed slots, especially on a ship. A container also can be easily handled by an STS crane, and is distinguished by its length and type. Container types include general purpose, refrigerated (reefers), tanks, among others. Standard container lengths, expressed in feet, are 20', 40', 45' and 53'.

Out of gauge item describes a unit, which is not stackable in the regular stacking blocks. There are several reasons why their stacking is not possible, including lack of upper corner fittings. Their width and height also prevents them from fitting into standard container slots. Although swap bodies are typically sized in accordance to ISO container



dimensions, their use is limited to rail and truck transport within Europe. OOG items are stored in a separate area on the ground, with a certain packing density.

#### 2.5. Rail network elements

A rail network enables train movements to terminals and other destinations, describes the available connections and rail infrastructure. Rail network elements encompass the model and connect areas, especially rail yards within terminals. Network consists of multiple rail tracks connected to each other. Sets of continuous tracks can be grouped into corridors, when joining distant locations. Moreover, a track consists of several speed points, that is demarcations on allowable speed for a train.

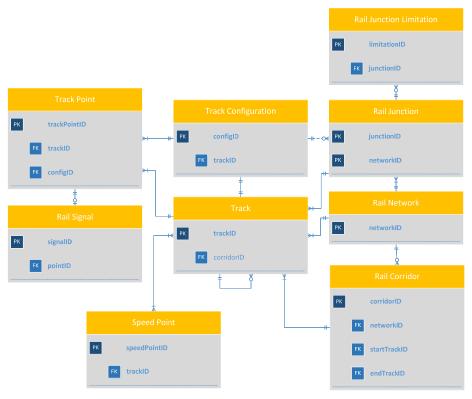


Figure 6. Rail network elements structure

Structurally a track is built of track points, connected in lines, which define its shape. They have spacial coordinates defined and together determine the length of a track. Since tracks need to start and end with a track point, a single track point can be part of more than one track. A track configuration determines the sequence of track points within a track, so that it is exactly known how the connections are built. On the other hand, a rail junction might separate three or more tracks and enable connections among them. A junction has a certain type, which defines its necessary parameters. Since the tracks usually go in pairs for movements in opposite directions (which is just a routing choice as tracks are always bidirectional), junctions can be complex, and thus sometimes



some movements and connections might need to be structurally limited. A rail junction limitation might define from which tracks to which movement is not possible and whether it is bidirectional.

Finally, a track point might have a rail signal for managing traffic, which works similarly to a traffic light, forcing the trains to stop. For a rail signal it is important to know about its direction.

### 2.6. Road network elements

Road network is built differently than rail network. Due to the scope, a road network is modelled only within a port, and ranges from the road gates to terminal aprons. All external trucks and internal transporters use road network exclusively. A port road network has a set of network routing rules, defining the allowable behaviour of vehicles, including priority rules or road crossing conduct. Terminal internal transporters use only part of the network, and are prevented from crossing a road gate. Moreover, terminals internally can have limitations on using their internal road network by certain types of vehicles. These can be set for individual roads.

A road network consists of roads and connecting them intersections. A road, typically unidirectional, has a start and an end node and a single node can be a part of many roads. If two, then it is a simple road connection, and if more then there is an intersection at that node. In the model intersections are simple, do not include spatial complexities, and the traffic on them is governed by the network routing rules.

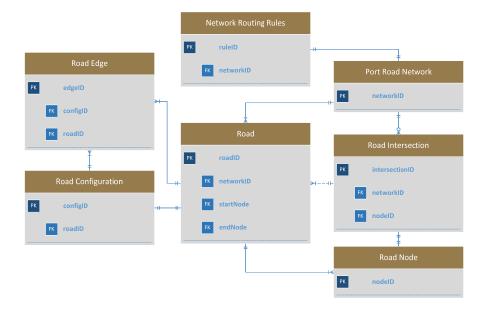


Figure 7. Road network elements structure



A road consists of road edges, with a particular geometry and length. This way various road shapes can be modelled, without defining multiple roads. Sequence of edges is defined in the road configuration, specific for every road.

Finally, as a road is usually unidirectional, two-way traffic is modelled with two separate roads. Unidirectional roads do not necessarily restrict obstacle avoidance, overtaking, or in general using the opposite direction lane in some cases.

### 2.7. Truck instance elements

A truck or external truck is a vehicle that brings ITUs to the terminal and/or takes them away to deliver to their destination. Arrival and departure of an external trucks are their boundary processes, meaning they are created on arrival and removed from the road network as soon as they leave. No outside processes are modelled. Truck generation is subjected to truck's arrival schedule, containing data on when to arrive at a terminal and the planned departure. All processes within the terminal, including required cargo and destinations, are governed by the truck's inter-terminal schedule.

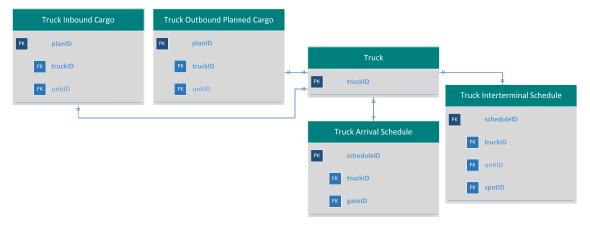


Figure 8. Track instance element depiction

A truck arrives with a certain amount of inbound cargo, which can be up to three ITU's, though usually it is zero or one. Every truck also has a planned outbound cargo, and the difference between the inbound and outbound cargo is the amount of work that has to be carried out on a truck, just as in case of a ship, but in smaller scale. Trucks typically drop or pickup cargo at a stack, though in some rare cases, especially with valuable or dangerous goods, trucks can also proceed directly to a quay crane or rail yard transfer spot.

### 2.8. Train instance elements

A train is a combination of a locomotive and railcars, which travels on tracks. From a conceptual standpoint, a set of railcars or a single locomotive can also be a train.



Certainly, a train arrives with an external locomotive and a set of railcars, the sequence of which is defined in the train configuration element, as shown in **¡Error! No se encuentra el origen de la referencia.**.

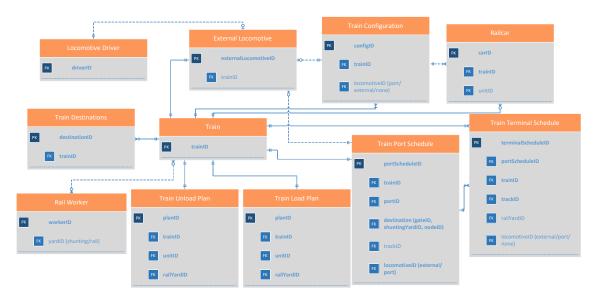


Figure 9. Train instance element depiction

Train configuration also describes any required changes of the locomotive. A locomotive must always have a driver. Railcars on arrival might carry ITUs to be dropped off. Rail workers service trains throughout their stay in the port and at the terminals.

Similarly, to vessels and external trucks trains have unload and load plans, the only difference being that the plans for the trains accommodate stopping at multiple terminals. That is why these are called plans and not stowages. Terminals to visit are recorded in the train port schedule, together with estimated times of arrivals there. A train has a single port terminal schedule, which also describes when locomotive changes are done. Within each visited terminal, there are different schedules. They describe which track at the rail yard to go to, and how long are the activities to take. Moreover, port locomotives are often decoupled from a train when before loading activities start, and go to service other trains. This information is also included in the train terminal schedule. Finally, a train has a set of destinations outside of the port to be reached at a specified planned time.

# 2.9. Terminal rail yard resources

Terminal rail yard is a location where trains are loaded and unloaded. A train enters a terminal via a terminal rail gate, where first inspections are performed, and stops at one of the tracks on the terminal rail yard. Rail workers described in the previous section also work at a rail yard, or at a shunting yard.



Rail Yard Transfer Spot Terminal Rail Yard spotID PK railYardID Rail Yard Crane FK railYardID FK terminalID craneID FK poolID Rail Yard Crane Schedule Terminal Rail Gate FK yardID PK scheduleID gateID PK FK cranelD FK terminalID

Figure 10. Terminal rail yard elements depiction

Figure 10. Terminal rail yard elements depiction shows the terminal rail yard data components. A terminal rail yard uses rail cranes to transfer cargo between the trains and horizontal transporters. Rail yard crane is of a certain type, typically and RMG, and has a span (width) to be able to handle the tracks on the yard. The handover happens in one of the rail yard transfer spots, a special places where vehicles can stop and where cranes can reach. Rail yard crane abides its schedule to move containers from one place to another. Rail yard cranes can also move an ITU from one train directly onto another train or a vehicle (both external truck or internal transporter)



# 3. Input and output data

Data requirements are formulated in the document D5.1. Data requirements for terminal simulation. That data needs to be transformed and incorporated into the devised data structure. This chapter concentrates on some specific aspects of input and output data.

# 3.1. Scenario handling

An important aspect of simulation studies is the ability to be able to accommodate multiple scenarios, sometimes called experiments, which require significantly more storage space. Most typical approaches consist of defining a default Baseline scenario, which is then duplicated, together with entire model I/O data structure, i.e. user configurable input settings and gathered model outputs: logs, result tables, performance indicators, etc.

Moreover, in order to run a scenario, a starting point and investigated duration are also necessary. For this case of a non-terminating simulation, where there is no precedent goal to reach by the model and stop the run thereof, the simulation run is carried out for a set time after the model behaviour reaches a steady-state. Starting point is required as a reference for time-indexed data like arrivals or schedules.

A typical simulation run begins with an empty system, and in this case an empty container yard. The stock in the yards is then built up during the run, until it reaches equilibrium with unchanging inputs. Although this might seem counterintuitive, this is always a preferable approach as it does not require extensive data on the initial situation, makes sure the state of a model is a result of causal relations and allows to perform some analysis on whether it is a good starting point or not, due to the time aspect. The time it takes to reach a steady-state is called a warm-up period, which is another typical parameter in a simulation model.

After the warm-up period, all necessary statistics are cleared so that the performance indicators are reported only on the steady-state of the system. There are analytical methods to help establish the duration of a warm-up period, complemented with the experience of the modeller and scenario analyst.

Finally, an important aspect is to prevent the user from changing input parameters once a scenario has been simulated. It is a measure to avoid making unnecessary mistakes, especially when comparing multiple scenarios. When changing input parameters of a completed scenario is nevertheless desired, the results need to be deleted before this



can be done. Commonly platforms distinguish scenario states for a better user experience, these states are:

- Design when a scenario is prepared and can be edited freely. There are no results;
- Queued a scenario waiting to be run by the simulation engine with prepared inputs that cannot be edited;
- Running a scenario currently being executed by the simulation engine;
- Completed a simulated scenario with the results, the input parameters of which cannot be edited
- Error a completed scenario that was interrupted due to an internal error.

# 3.2. Replications

Every experiment consists of a set of simulation runs, called replications, executed by the simulation engine. These runs use the exact same input parameters, but obtain varying result due to different sampled values of the stochastic variables. Stochastic variables represent uncertainty, when the exact value differs and cannot be explicitly determined. These are typically delays, like processing times, transport durations, but can also be connected to other uncertain values like amount of cargo on a MoT, ITU sizes or vehicle speed. The deterministic variables, like the number of STS cranes remain constant. Stochastic variables are drawn from probabilistic distributions by a pseudorandom generator, responsible for achieving required probability density function by the processed utilising this value.

For example, if a processing time at a road gate is given as a distribution, then every arriving truck has a different delay there, and together they roughly form that given distribution. For another simulation run, a different set of random numbers is chosen, based on so called random seed for the pseudo-random, and each truck has a different than before processing delay. These together still form the same distribution. However, due to the causality in the model, a small delay on the gate can have consequences for a number of other arrivals, and significantly alter performance indicators for the terminal. Large changes to the outputs due to small changes in the values of parameters are called snowball effect. Often during validation of the model, its sensitivity to varying inputs is measured and assessed.

Nevertheless, utilising multiple replications is useful to determine the robustness of the model, and to fully assess the impact of stochastic variables. With more, and better



model results, output analysis can be used to more accurately establish the expected performance of the real system.

In general, the more replications the better, yet at some point the added value diminishes, and is not worth the resources committed, especially the time required to run the simulation, which might be considerable. There number of replication needed to achieve good enough results, can be determined based on the model outputs with some analytical techniques, as well as modeller experience.

Finally, with multiple replications in an experiment, the results should be assessed collectively and analysed with descriptive statistics. Only then the likely range of outputs can be assessed, and the model results are most useful, even though it requires additional effort, sometimes significant.

One must not select a single replication to draw conclusions from and discard the rest, or simply take the average of the results. Hypothesis testing and interval estimates are often used statistical methods to determine population parameters from the achieved samples (model outputs).

# 3.3. Input data

Precise information on the input data is included in the D5.1 Data requirements for terminal simulation document. The data requirements need to be accommodated by the data structure in this document. Moreover, some of it needs to be easily altered to enable experimentation. There are three main areas to be distinguished from that standpoint:

- a) Map and infrastructure data containing information on the physical aspects of the functional areas, including connections among them. This is the most extensive data for the model, the input of which is automated from external sources. The exact means of importing data from e.g. BIM have not yet been determined, and they might require some alterations to the proposed data structure. Then, because of complexity in applying changes, the user will not be able to alter map and infrastructure information for experimentation. This does not mean that changes are altogether impossible, they rather require implementation effort as well as testing and validation;
- b) Equipment and configuration data concerns terminal equipment in general. These are all the cranes, internal transporters and their parameters, including control logic, which together perform all the (un)loading and internal transport tasks. All the equipment characteristics need to fit into the defined data



- structure. Moreover, a lot of this information is to be varied among scenarios to compare the added value of interventions (e.g. additional transporters);
- c) Volume and arrival data necessary parameters to allow for ITUs to arrive at a terminal via varying access methods, and the directions and amounts of ITUs leaving the terminal. Expressed in general terms for easier data gathering, as well as creating new scenarios. General data needs to be transformed into useful schedules, that govern inflow and cargo flows to and from the terminal. This data includes volumes, types and lengths of ITUs, their means of transport, general arrival patterns and dwell behaviour.

It is possible to execute a historical schedule and compare performance. This is however not convenient to alter and create scenarios for analysis. It is also not attainable for non-existing terminals.

# 3.4. Volumes split for arrival generation

There are two general types of scenarios for investigation: peak performance and long-term planning, with slightly varying requirements. Peak performance is a short-term inquiry into system performance under strenuous conditions, i.e. large volumes of cargo to be processed. Peak performance scenarios can be used to determine the system's capacity as well. Long-term scenarios also might include peaks (and valleys) in cargo volume, but the general goal is to explore performance under normal operations. Both scenario types require slightly different generation of arrivals.

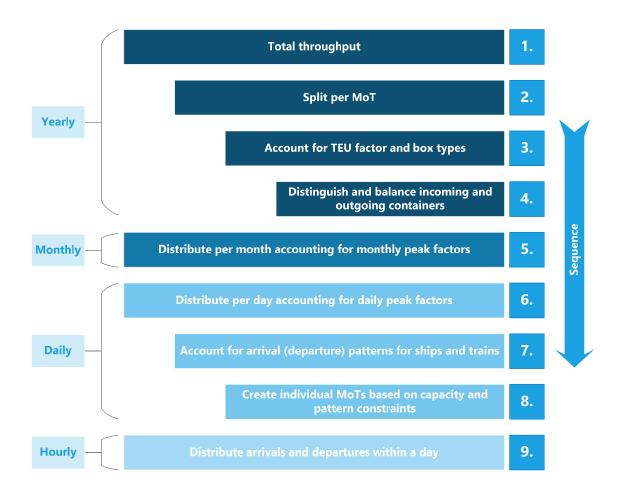
Nevertheless, Figure 11 shows the general steps in creating cargo split from the total volume per year, to actual arrivals and departures during a day. In order to reach that, the total volume needs to be split per MoT, incoming and outgoing volumes, accounting for box size and type, peak factors and arrival patterns.

The approach is to create a schedule from a limited number of parameters, one that can be generated over and over, if any of the inputs are to be changed. Manually inputting information for hundreds of arrivals or reusing historical schedules is in the long term too labour intensive and too prone to human error. Although generated schedules are not perfect, they are better for assessing general performance. Generated schedules can also be optimised, while it is difficult to assess the quality of imported schedules, which do have tremendous impact on the system's performance.

The general approach in generating arrivals is to go top down, from large time span to smaller ones, categorizing the amount of cargo further, until reaching individual MoTs and their cargo.



Figure 11. Sequence of generating arrivals processes



Note that for larger MoTs like ships and trains as per step 7., vessels and trains might have pre-specified arrival days that need to be taken into account. Moreover, based on the vessel fleet mix and call size, ships need to be generated every couple of days, so daily volume split might be counterintuitive. Individual MoTs are created in step 8.

# 3.5. Output data

Output data comprises of several key domains, which are then analysed to provide the user with useful information on the outcomes of the simulation run. Any simulation generates massive amounts of data, only some of which, deemed useful by the designers, is kept and stored after the run has been completed. The distinguished domains are:

- Result tables
- Logs



### Animation data

Result tables contain some chosen values that are recorded to convey important aspects of the model performance. In the design process, the aggregation level, i.e. the level of details to record is decided. Given the expected tens of thousands of ITUs a year to be handled on a terminal, displaying information on every single one of them to the users is rather redundant, as it could only confuse them. A more composite and meaningful results need to be conveyed. On the other hand, detailed information on individual MoTs might be desired, especially when looking into causes of varying performance among scenarios.

The result tables are further aggregated to obtain performance indicators. For example. from a set of results on crane operations information on different levels can be given. On one hand the cranes can be grouped into crane types, engine type, control type, etc., and statistics can be given per group, while they can also be used to show figures per particular area, terminal. This all basing on the same result tables, but transformed differently.

Logging is raw data storage with regards to time. Most often, a snapshot of a given situation (variable values) is taken in regular intervals, though it can also be recording time stamps of particular events for moving entities, like vehicles. A typical example is a current level of storage in a particular place. Logging is particularly important at the stage of verification and validation, to determine whether the behaviour of the model is as desired. This can hardly be done using result tables, and the verification is a crucial step of the model development.

Animation data is all the information necessary to display the model behaviour visually over time and can be accessed after the simulation run. Storing the simulation data and allowing for a separate animation requires a lot more data to be stored. Its exact structure has not been determined yet, though despite the data requirements it has a lot of advantages. These include decoupling animation from the simulation run, ability to rewind and go back in time or smooth animation not depending on simulation execution speed. On the other hand, one must wait until the simulation run has been completed before viewing the animation.



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# **Appendix**

### **Stochastic distributions**

Some of the parameters in tables of the data model utilise a random distribution, meaning that the actual value during the simulation run is sampled from it. Table I contains some selected random distributions that need to be possible to use, including one fixed for deterministic runs.

**Table I. Supported distributions table** 

Name	Paremeter_1	Parameter_2	Parameter_3
Fixed	Deterministic	-	-
Normal	Mean	Standard Deviation	-
Triangular	Minimum	Mode	Maximum
Exponential	Mean	-	-
Binomial	Probability of Success	Number of Trials	-
Uniform	Minimum	Maximum	-
Weibull	Shape	Scale	-

Distributions vary in number of relevant parameters, thus their number and order is presented. Presented distributions are continuous. If discrete values are required, they are obtained by rounding.

# **Simplified Data Model**



Figure 12. Entity relationship diagram in Crow's foot notation. Attributes skipped.



# **External MoTs**

### **Vessel tables**

**Table II. Vessel type definitions** 

Column	Туре	Unit	Required	Remarks
typeName	string	-	Υ	Primary Key. Name for a type of a vessel, e.g. Panamax
capacityMax	integer	TEU	Υ	Maximum number of TEU's on board
length	real	m	Υ	
maxDraft	real	m	Υ	
beam	real	m	Υ	
bayNumber	integer	TEU	Υ	
rowNumber	integer	TEU	Υ	
tiersAboveDeck	integer	TEU	Υ	
tiersBelowDeck	integer	TEU	Υ	
maxServicingCranes	Integer	-	N	Maximum number of cranes that can service given vessel at the same time
requiredProductivity	real	bx/h	N	

**Table III. Vessel generation properties** 

Column	Туре	Unit	Required	Remarks
typeName	integer	-	Υ	
callSize	distribution	TEU	Υ	0-100%. Part of cargo that is moved
callSizeVariation	real	%	Υ	How much can CallSize differ over particular transporters
utilisationRate	real	%	Υ	0-100%. How full arriving vessels are

Table IV. Vessel entity properties

Column	Туре	Unit	Required	Remarks
vesselID	integer	-	Υ	
vesselName	string	-	Υ	
typeName	string	-	Υ	Foreign Key from vessel generation table
owner	string	-	Υ	
currentDraft	real	m	Υ	
callSize	real	TEU	Υ	



### **Train tables**

Trains in the model are assumed to be block trains, i.e. there is no detachment of railcars from the trains or configuration changes except for changing locomotives.

Table V. Train definitions table

Column	Туре	Unit	Required	Remarks
trainID	integer	-	Υ	Primary Key
destinationsScheduleID	Integer	-	Υ	External destinations and time for planned visits
portScheduleID	integer	-	Υ	Foreign Key, schedule within the port
locomotiveID	integer	-	Υ	Foreign Key, external locomotive (on arrival)
configID	integer	-	Υ	Foreign Key, train configuration
railCompanyName	string	-	N	owner
serviceType	string (list)	-	Υ	Type of behaviour in the port (e.g. direct shuttle, hopper)
length	real	m	Υ	
railcarNumber	integer	-	Υ	
cargoCapacity	integer	TEU	Υ	
callSize	integer	TEU	Υ	
globalOrigin	string	-	N	Origin of the train
totalRailCarNumber	integer	-	Υ	Number of attached railcars
totalLength	real	m	Υ	Total length of the train
maxSpeed	real	m/s	Υ	Maximum allowed speed for the train

**Table VI. Railcar definitions table** 

Column	Туре	Unit	Required	Remarks
carID	integer	-	Υ	Primary Key
trainID	Integer	-	Υ	Foreign Key
type	list	-	Υ	container/tank/bulk
cargo	vector	-	Υ	list of unitID, can be empty
capacityTEU	integer	TEU	N	only for containers
capacityCargo	real	tonne	Υ	
width	real	m	N	
length	real	m	N	
emptyWeight	real	tonne		

Table VII. External locomotive definitions table

Column	Туре	Unit	Required	Remarks
portLocomotiveID	Integer	-	Υ	Primary key
trainID	Integer	-	Υ	Foreign key



Column	Туре	Unit	Required	Remarks
railCompanyName	String	-	N	owner
type	String (list)	-	Υ	Diesel, electric or hybrid
length	Real	m	Υ	
weight	Real	kg	N	
maxSpeedFree	Real	m/s	Υ	
maxShuntingSpeed	Real	m/s	Υ	
couplingTime	distribution	S	Υ	
decouplingTime	distribution	S	Υ	

Table VIII. Port locomotive definition table

Column	Туре	Unit	Required	Remarks
portLocomotiveID	Integer	-	Υ	Primary key
type	String (list)	-	Υ	Diesel, electric or hybrid
length	Real	m	Υ	
weight	Real	kg	N	
maxSpeedFree	Real	m/s	Υ	
maxShuntingSpeed	Real	m/s	Υ	
couplingTime	distribution	S	Υ	
decouplingTime	distribution	S	Υ	

# **Truck tables**

**Table IX. Truck parameters** 

Column	Туре	Unit	Required	Remarks
truckID	integer	-	Υ	Primary Key
inboundCargoID	integer	-	Υ	Foreign Key
outboundCargoID	integer	-	Υ	Foreign Key
arrivalScheduleID	integer	-	Υ	Foreign Key
interterminalScheduleID	integer	-	Υ	Foreign Key
owner	string	-	Υ	
capacity	integer	TEU	Υ	
globalOrigin	string	-	Υ	
globalDestination	string	-	Υ	
avgSpeed	real	m/s	Υ	
length	real	m	Υ	
width	real	m	Υ	

### **Matrix tables**

The following matrix tables are used to translate the periodical volumes of cargo into specific ship quantities. As they express percentage composition, the values in each row



need to sum up to 100. These requirements are in more detail described in 5.1 Data requirements for terminal simulation document:

- Ship type per terminal (Fleet mix)
- Train lengths on arrival (Train mix)
- External trucks cargo composition
- Total container volume split per transportation means
- ITU composition percentage of container sizes (20', 40', 45'), reefers, empties, OOG items etc.
- Peak factors per month/day

# Cargo

**Table X. Intermodal Transport Unit types** 

ITU_Type	Size	Stackable	Description
Container	ISO standard 20', 40', 45'	Yes	Intermodal container
Semi-trailer	Varying	No	Trailer without a front axle
Swap body	Varying, related to ISO containers	No	Non-stackable containers with fittings for road/rail transport within Europe

**Table XI. Container categories and sizes** 

Container_Category	Length [feet]	Length [metres]	Max Load [kg]	Description
General_20	20	6.1		General category includes all general purpose enclosed container types
General_40	40	12.2		
General_45	45	13.7		
Reefer_40	40	12.2		
Tank_20	20	6.1		

**Table XII. ITU definitions table** 

Column	Туре	Unit	Required	Remarks
unitID	Integer	-	Υ	Primary key
ITU_type	String (list)	-	Υ	Foreign key
Stackable	Boolean	_	Υ	
Owner	String (list)	-	Υ	Shipping company name
totalWeight	Real	Kg	Υ	Gross weight of the unit
maxPayload	Real	Kg	N	
globalOrigin	String	-	N	Place of origin



Column	Туре	Unit	Required	Remarks
globalDestination	String (list)	-	Υ	Final destination of the cargo for the simulation model
contentDescription	String	-	N	

**Table XIII. Container definitions table** 

Column	Туре	Unit	Required	Remarks
containerID	Integer	_	Υ	Primary Key
unitID	Integer	-	Υ	Foreign key
containerCategory	String (list)	-	Υ	Type of container and its length
emptyWeight	Real	Kg	N	
containerCode	String	-	N	For specific container identification purposes

Table XIV. Out of Gauge item definition

Column	Туре	Unit	Required	Remarks
itemID	Integer	-	Υ	Primary Key
unitID	Integer	_	Υ	Foreign key
unitLength	Real	m	Υ	
unitWidth	Real	m	Υ	
unitHeight	Real	m	Υ	

# **Terminal equipment**

Table XV. Ship-to-Shore crane entity parameters

Column	Туре	Unit	Required	Remarks
craneID	Integer	-	Υ	Primary Key
quayID	Integer	-	Υ	Foreign Key
poolID	Integer	-	N	Foreign Key
craneType	list	-	Υ	with platform / without platform / working between legs etc.
averageProductivity	real	mph	Υ	
allowedLifts	list	-	Υ	single / twin / tandem / dual cycle
manufacturer	string	-	Υ	
manufacturerTypeName	string	-	N	
span	real	m/TEU	Υ	
liftHeight	real	m/TEU	Υ	
outreach	real	m/TEU	Υ	
clearanceUnderBeam	real	m	N	



Column	Туре	Unit	Required	Remarks
backreach	real	m	N	
maxHoistLoad	real	tonne	N	
liftingCapacity	real	tonne	Υ	
gantrySpeed	real	m/s	Υ	
avgEnergyConsumption	real	kW	Υ	

It needs to be noted that for cranes with platforms as defined in Table XV, additional parameters need to be defined. However, these are new types of cranes and only few terminals have them.

**Table XVI. Barge crane entity parameters** 

Column	Туре	Unit	Required	Remarks
craneID	Integer	-	Υ	Primary Key
quayID	Integer	-	Υ	Foreign Key
poolID	Integer	-	N	Foreign Key
averageProductivity	real	mph	Υ	
manufacturer	string	-	Υ	
manufacturerTypeName	string	-	N	
maxHoistLoad	real	tonne	N	
liftingCapacity	real	tonne	Υ	
craneSpeed	real	m/s	Υ	to move the entire crane
				to a new position
avgEnergyConsumption	real	kW	Υ	

Table XVII. Stacking equipment entity table

Column	Туре	Unit	Required	Remarks
craneID	Integer	-	Υ	Primary Key
poolID	Integer	-	N	Foreign Key
craneType	list	-	Υ	SC, RTG, RMG,
averageProductivity	real	mph	Υ	
manufacturer	string	-	Υ	
manufacturerTypeName	string	-	N	
operationalHeight	real	TEU	Υ	
stackingSpan	real	TEU	Υ	
maxHoistLoad	real	tonne	N	
liftingCapacity	real	tonne	Υ	
craneSpeed	real	m/s	Υ	to move the entire crane
				to a new position
avgEnergyConsumption	real	kW	Υ	



Table XVIII. Terminal transporter entity table

Column	Туре	Unit	Required	Remarks
transporterID	Integer	-	Υ	Primary Key
poolID	Integer	-	N	Foreign Key
transporterType	list	-	Υ	TT, AGV, ShC
averageProductivity	real	mph	Υ	
manufacturer	string	-	Υ	
manufacturerTypeName	string	-	N	
speedEmpty	real	m/s	Υ	
speedFull	real	m/s	Υ	
avgEnergyConsumption	real	kW	Υ	

Table XIX. Terminal trailer entity table

Column	Туре	Unit	Required	Remarks
trailerID	Integer	-	Υ	Primary
				Key
detachable	Boolean	-	Υ	
manufacturer	string	-	Υ	
manufacturerTypeName	string	-	N	
capacity	real	TEU	Υ	
maxLoad	real	tonnes	N	

# **Terminal areas**

Table XX. Terminal parameters table

Column	Туре	Unit	Required	Remarks
terminalID	Integer	-	Υ	Primary Key
portID	integer	-	Υ	Foreign Key
totalArea	real	m^2	Υ	
terminalType	list	-	N	container/bulk/liquid/oil/LNG
numberOfShifts	integer	_	Υ	
employeePoolQC	integer	-	Υ	
employeePoolCHE	integer	-	Υ	

Table XXI. Stack block entity table

Column	Туре	Unit	Required	Remarks
stackID	Integer	-	Υ	Primary Key
arealD	integer	-	Υ	Foreign Key
parrarel	Boolean	-	Υ	If not, is perpendicular
groundSpotsTotal	integer	TEU	Υ	
groundSpotsReefers	integer	TEU	Υ	
reeferSpots	integer	TEU	Υ	
totalStorage	integer	TEU	Υ	



Column	Туре	Unit	Required	Remarks
stackingHeight	integer	TEU	Υ	
length	integer	TEU	Υ	
width	integer	TEU	Υ	
height	integer	TEU	Υ	

### Table XXII. Stack spot table

Column	Туре	Unit	Required	Remarks
spotID	Integer	-	Υ	Primary Key
stackID	integer	-	Υ	Foreign Key
type	list	-	Υ	general / reefer / empty
size	integer	TEU	Υ	
locationCode	string	-	Υ	bay/row/tier

### Table XXIII. Stack transfer spot table

Column	Туре	Unit	Required	Remarks
spotID	Integer	-	Υ	Primary Key
stackID	integer	-	Υ	Foreign Key
dedicatedLanes	integer	-	Υ	
totalSpots	integer	-	Υ	

#### **Table XXIV. Berth definition table**

Column	Туре	Unit	Required	Remarks
berthID	Integer	-	Υ	Primary Key
quayID	integer	-	Υ	Foreign Key
startCoordinates	vector	-		(x,y,z)
endCoordinates	vector	-		start and end z
				coordinate equal
length	real	m	Υ	
draft	real	m	Υ	
openingDays	integer	-	Υ	number of operational
				days a year
shiftStartTime	real	h	N	
shiftEndTime	real	h	N	

### Table XXV. Quay definitions table

Column	Туре	Unit	Required	Remarks
quayID	Integer	-	Υ	Primary Key
terminalID	integer	-	Υ	Foreign Key
startCoordinates				
endCoordinates				
geometry	vector	-	Υ	Coordinated of vital points if quay is not a straight line



Column	Туре	Unit	Required	Remarks
totalLenght	real	m	Υ	
numberOfBerths	integer	-	Υ	

#### **Table XXVI. Access channel definitions**

Column	Туре	Unit	Required	Remarks
channelID	Integer	-	Υ	Primary Key
portID	integer	-	Υ	Foreign Key
terminalID	integer	-	Υ	Foreign Key
accessRestrictionsID	integer	-	N	Foreign Key
vesselCapacity	integer	-	Υ	
traverseTime	distribution	h	Υ	
length	real	m	N	

#### **Table XXVII. Gate definition table**

Column	Туре	Unit	Required	Remarks
gateID	Integer	-	Υ	Primary Key
terminalID	integer	-	Υ	Foreign Key
openingDays	integer	-	Υ	number of operational
				days a year
shiftStartTime	real	h	N	
shiftEndTime	real	h	N	
numberOfLanes	integer	-	Υ	
handlingDelay	distribution	h	Υ	

### Table XXVIII. Rail terminal definition table

Column	Туре	Unit	Required	Remarks
yardID	Integer	-	Υ	Primary Key
terminalID	integer	-	Υ	Foreign Key
type	list	-	Υ	terminal/shunting
tracksNumber	integer	-	Υ	
trackLength	real	m	Υ	
bufferSpots	integer	-	Υ	
openingDays	integer	-	Υ	number of operational
				days a year
shiftStartTime	real	h	N	
shiftEndTime	real	h	N	
area	real	m^2	N	



# **Output data**

### **Results tables**

**Table XXIX. Simulation overview results** 

Column	Туре	Unit	Remarks
simulationTime	real	h	duration of the simulation
portOperationalTime	real	h	time spent in operation
importedITU	integer	ITU	
importedTEU	real	TEU	
importedCargo	real	tonne	
exportedITU	integer	ITU	
exportedTEU	real	TEU	
exportedCargo	real	tonne	
transhippedITU	integer	ITU	
transhippedTEU	real	TEU	
transhippedCargo	real	tonne	
vesselsDeparted	integer	-	
trainsDeparted	integer	-	
trucksDeparted	integer	-	
totalEnergyConsumed	real	kW	by all yard/quay equipment + transporters

Table XXX. Equipment utilisation result table

Column	Туре	Unit	Remarks
vehicleID	integer	-	Any internal vehicle including internal transporters, yard equipment and quay cranes
vehicleType	list	-	Type of the vehicle
operationalTime	real	hours	total time in operation
idleTime	real	hours	
waitingTime	real	hours	
busyTime	real	hours	
totalITU	integer	ITU	total number of handled units (productive moves)
totalTEU	real	TEU	
totalCargo	real	tonne	
energyConsumption	real	kW	

For Table XXX the following needs to be preserved:

operational Time = idle Time + waiting Time + busy Time

$$utilisation = \frac{busyTime}{operationalTime} [\%]$$



Table XXXI. Gate utilisation result table

Column	Туре	Unit	Remarks
gateID	integer	-	
operationalTime	real	hours	
busyTime	real	hours	
vehiclesArrived	integer	-	
vehiclesDeparted	integer	-	
lanesIn	integer	-	
lanesOut	integer	-	

Table XXXII. Berth utilisation result table

Column	Туре	Unit	Remarks
berthID	integer	-	
operationalTime	real	hours	
occupiedTime	real	hours	
allottedTime	real	hours	occupied time plus reservations
vesselsMoored	integer	-	total number of vessels that have moored at berth
totalITU	integer	ITU	
totalTEU	real	TEU	
totalCargo	real	tonne	

$$allot ment = \frac{allotted Time}{operational Time} [\%]$$

$$utilisation = \frac{occupiedTime}{operationalTime} [\%]$$

Since the berths can be occupied by more than one vessel, should that actually happen instead of reporting on the berth utilisation, the quayside utilisation needs to be calculated. It is related to length of quay occupied by the vessels.

### Logs

**Table XXXIII. Terminal throughput log** 

Category	Column	Туре	Unit	Remarks
	day	integer	_	Since the start, count from 0
	datetime	datetime	-	for aggregation to months/years
				etc.
	arrived	integer	_	
	departed	integer	-	
vessels	offloadedTEU	real	TEU	
	offloadedITU	integer	ITU	
	offloadedCargo	real	tonne	



Category	Column	Туре	Unit	Remarks
	loadedTEU	real	TEU	
	loadedITU	integer	ITU	
	loadedCargo	real	tonne	
	arrived	integer	-	
	departed	integer	-	
	offloadedTEU	real	TEU	
trains	offloadedITU	integer	ITU	
trains	offloadedCargo	real	tonne	
	loadedTEU	real	TEU	
	loadedITU	integer	ITU	
	loaded_cargo	real	tonne	
	arrived	integer	-	
	departed	integer	-	
	offloaded_TEU	real	TEU	
trucks	offloaded_ITU	integer	ITU	
trucks	offloaded_cargo	real	tonne	
	loaded_TEU	real	TEU	
	loaded_ITU	integer	ITU	
	loaded_cargo	real	tonne	

Data from Table XXXIII can be aggregated in time or MoT. Throughput information can be derived from it over time.

Table XXXIV. Storage area utilisation log

Column	Туре	Unit	Remarks
stackID	integer	-	
simulationTime	real	h	time interval
occupiedSpots	integer	TEU	

A similar log is as in Table XXXIV is done for rail track utilisation

Table XXXV. Vessel times log

Column	Туре	Unit	Remarks
vesselID	integer	-	
vesselType	string	-	
arrivalPBS	datetime	-	Vessel's arrival at the PBS
departurePBS	datetime	_	
arrivalBerth	datetime	_	
processingStart	datetime	-	
processingFinish	datetime	-	
departureBerth	datetime	-	
departurePort	datetime	-	Time of reaching the PBS for the second
			time



Column	Туре	Unit	Remarks
unloadedITU	integer	ITU	
unloadedTEU	real	TEU	
unloadedCargo	real	tonne	
loadedITU	integer	ITU	
loadedTEU	real	TEU	
loadedCargo	real	tonne	
maxServicingCranes	integer	-	Maximum number of cranes servicing the vessel at once

Vessel waiting time is the difference between departure and arrival at the PBS. Consequently, unloading duration is the difference between processing finish and processing start, etc.

**Table XXXVI. Train times log** 

Column	Туре	Unit	Remarks
trainID	integer	-	
arrivalShuntingYard	datetime	-	Train's arrival time at a shunting yard
departureShuntingYard	datetime	-	
arrivalRailYard	datetime	-	
processingStart	datetime	-	
processingFinish	datetime	-	
departureRailYard	datetime	-	
departurePort	datetime	-	Time of reaching the shunting yard for the second time
unloadedITU	integer	ITU	
unloadedTEU	real	TEU	
unloadedCargo	real	tonne	
loadedITU	integer	ITU	
loadedTEU	real	TEU	
loadedCargo	real	tonne	

**Table XXXVII. Truck times log** 

Column	Туре	Unit	Remarks
truckID	integer	-	
arrivalPort	datetime	-	Truck's arrival time at the port
arrivalGateEntry	datetime	-	on arrival
departureGateEntry	datetime	-	on arrival
waitingAreaEntered	datetime	_	before loading
waitingAreaExited	datetime	-	
firstCargoLoaded	datetime	_	
lastCargoLoaded	datetime	-	
arrivalGateExit	datetime	-	
arrivalGateExit	datetime	-	



Column	Туре	Unit	Remarks
departurePort	datetime	-	Time of reaching the shunting yard for the second time
unloadedITU	integer	ITU	
unloadedTEU	real	TEU	
unloadedCargo	real	tonne	
loadedITU	integer	ITU	
loadedTEU	real	TEU	
loadedCargo	real	tonne	

# **Animation data**

Actual animation data structure will be determined at a later stage when the requirements are better recognised and understood.